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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: SMITH et al.

Attorney Docket No.: VISAP076

Application No.: 10/633,020

Examiner: METJAHIC, Safet

Filed: July 31, 2003

Group: 2171

Title: SMART CARD PERSONALIZATION  
ASSISTANCE TOOL

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on October 6, 2004 in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: \_\_\_\_\_

*Sue Funchess*  
Sue Funchess

**INFORMATION DISCLOSURE STATEMENT  
37 CFR §§1.56 AND 1.97(b)**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office

Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0388 (Order No. VISAP076).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP

A handwritten signature in black ink, appearing to read "Michael Lee", with a long horizontal flourish extending to the right.

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No. <b>VISAP076</b> Applicant: <b>SMITH et al.</b> Filing Date <b>July 31, 2003</b>	Application No.: <b>10/633,020</b>  Group <b>2171</b>
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#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,748,484	05/05/98	Cannon et al.	364	479.03	02/07/96
	B	5,765,142	06/09/98	Allred et al.	705	26	06/07/95
	C	5,889,941	03/30/99	Tushie et al.	395	186	11/22/96
	D	6,196,459B1	03/06/01	Goman et al.	235	380	05/11/98
	E	6,402,028B1	06/11/02	Graham, Jr. et al.	235	380	04/06/99
	F	2001/0048677A	12/06/01	Boys	370	352	05/14/01
	G						
	H						
	I						

#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	J	DE19958559A1	07/06/01	Germany	G06F	17/60		No
	K	WO99/19846	04/22/99	WIPO	G07F	7/10	Yes	
	L							

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	M	International Search Report, dated September 7, 2004
	N	Anonymous: "Card Customization Guide, Version 1.0", <a href="http://www.globalplatform.org">www.globalplatform.org</a> , August 13, 2002, pages 1-83
	O	Anonymous: "Multi Application Smart Card Management Systems Functional Requirements, Version 3.4", <a href="http://www.globalplatform.org">www.globalplatform.org</a> , May 2001, pages 1-84
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.